# Qi-yuan Peng, Ph.D., CFA

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### **Academic Experience**

# University of Dayton Assistant Professor of Finance Tulane University Visiting Assistant Professor of Finance

2018-present

2017 - 2018

# Education

Ph.D. in Finance, Tulane University
Master of Finance, Tulane University
B.S. in Finance, University of Macau

2011 - 20172010 - 2011

2006 - 2010

#### Research

### **Published papers**

- [1] Ni, X., Yin, S., Peng, Q., and Zhang, T., 2020. Attention! Distracted Institutional Investors and Stock Price Crash. *Journal of Corporate Finance*, 64, p.101701.
- [2] Peng, Q., and Yin, S., 2021. Does Executive Labor Market Discipline? Labor Market Incentives and Earnings Management. *Journal of Empirical Finance*, 62, pp.62-86
- [3] Hong, Q., Peng, Q., and Zhu, N., 2021. Can a Small Fish Become a Big Fish? Modeling Leader-generating mergers in a Stackelberg Market. *Finance Research Letter*, 38, p.101684
- [4] Li, Z., and Peng, Q., 2021. The Dark Sides of Pay Duration: Evidence from Mergers and Acquisitions. *Journal of Financial and Quantitative Analysis*, 56(8), 2963-2997.
- [5] Peng, Q., Yin, S., and Zhang, R., 2023 "Common Institutional Blockholders and Reporting Practices: Evidence from Accounting Comparability," *Accounting Horizon*, 37(2), 133-159
- [6] Fee, E., Z. Li, and Peng. Q., 2023 "Hidden Gem: Performance Expectation in Compensation Disclosure," *Journal of Accounting and Economics*, 75(1), p.101519
- [7] Peng, Q., Tice, S., and Zhou, L., 2023 "Mutual Funds and Stock Fundamentals," *Review of Quantitative Finance and Accounting*, 60, 1329-1361
- [8] Peng,Q., P, Sivadasan., and Zhang, R., 2023 "Executive Compensation Horizon Incentives, Performance Targets, and Auditor Risk Assessment," *Journal of Accounting and Public Policy*, Forthcoming

## **Working papers**

- [1] "The Real Effect of Authoritative Regulatory Guidance and Attestation Standards: Evidence from Financial Advisor Misconduct" (with Russell Williamson and Rui-Zhong Zhang) Revise & Resubmit
- [2] "Curbing Financial Advisor Misconduct: The Power of Local Media" (with Zhi Li and Rui-Zhong Zhang) Revise & Resubmit
- [3] "Gender, Competition, and Performance: International Evidence" (with Kai Li, Rui Shen, and Gabriel Wong) Revise & Resubmit
- [4] "Can Integrity Reduce Financial Advisers' Misconduct? The Moderating Role of Subsidiary Managers" (with Steven Kaplan, Serena Loftus, and Rui-Zhong Zhang) Revise & Resubmit
- [5] "Information in CEO Take-home Pay: Evidence from Long-term Incentive Plans" (with Zhi Li and Lingling Wang)

#### **Conferences and Invited Presentations**

(\* denotes co-author presentation)

2024: American Finance Association (scheduled)

2022: Midwest Finance Association, Asian Bureau of Finance and Economic Research Conference\*, Society for Institutional and Organizational Economics (SIOE) Conference\*

2021: Financial Management Association\*, Virginia Tech\*

2019: Financial Management Association, Midwest Financial Association, Tulane University\*, Loyola Marymount University\*, The University of New Mexico\*

2018: Financial Management Association, University of Auburn\*

2017: Midwest Financial Association, Cleveland State University, Louisiana Tech University, Boise State University, Tulane University, California Corporate Finance Conference\*

2015: AAA-Financial Accounting and Reporting Section Midyear Meeting\*

# **Teaching Experience**

#### **Courses taught at University of Dayton:**

FIN 301: Introduction to Financial Management FIN 623/MBA 623: Financial Statement Analysis

MBA 796: Corporate Finance

#### **Courses taught at Tulane University:**

FIN 460: Cases in Valuation and Financing

FIN 764: Firm Valuation

FIN 310: Financial Management

## Awards, Fellowships, and Grants

University of Dayton Grant Seed Fellowship	2021, 2022
Research Grant from SBA at University of Dayton	2022
Semifinalist for Best Paper in Corporate Finance, FMA	2018
Tulane Graduate Studies Student Association Travel Award	2016
AFA Doctoral Student Travel Grant	2015
Tulane University Graduate Assistantship	2011 - 2016

Henry Fok Foundation Scholarship	2008 - 20	)09
Hong Kong & Shanghai Banking Corporation Ltd Scholarship	2007 - 20	008

# **Professional Certification**

CFA Charterholder 2018 – present

Financial Risk Management (Passed Level I, and Level II by 2014, Inactive)

# **Conference Service**

2023: Financial Management Association (FMA)	Discussant
2023: Financial Accounting and Reporting Section Midyear Meeting (FARS)	Conference reviewer
2019: Financial Management Association (FMA)	Discussant
2017: Midwest Finance Association (MFA)	Discussant

# **Department Service**

Undergraduate Committee	2023 – Present
MBA Core Task Committee	2021 - 2022
Tenure Track Search Committee	2021
CFA Research Challenge	2020 - 2021
SBKE Test Revision Committee	2019 - 2020
University Principal Contact of CFA	2018 – present