EVER WONDER WHAT’S ON THE MIND OF A FEDERAL RESERVE BANK PRESIDENT?

How about on the minds of two Fed presidents? Find out on April 4th.
INSIDE RISE

For 12 years, the Redefining Investment Strategy Education (RISE) Forum has brought together students, faculty and prominent investment professionals to share insights and knowledge in an interactive, informative environment. Our open format and the caliber of our panelists each year have established RISE as the most prestigious student investment conference in the world.

RISE 13 again invites business professionals to share the excitement of opening day programming with the young men and women who promise to be the financial leaders of tomorrow. Join students as they interact with nationally renowned keynote panelists whose discussions will address the economy, the markets, the future of the industry and Federal Reserve perspectives.

Make plans to attend on April 4, 2013, as RISE 13 brings Wall Street to the University of Dayton Arena. Continuing education credits will be available.
FEDERAL RESERVE BANK PANELISTS

CHARLES L. EVANS, PH.D.
President & CEO
FEDERAL RESERVE BANK OF CHICAGO
Charles Evans was appointed the ninth president and CEO of the Federal Reserve Bank of Chicago in September 2007. In that capacity, he will serve a rotational term as a voting member of the Federal Open Market Committee in 2013. Published in respected academic journals, his personal research has focused on measuring the effects of monetary policy on U.S. economic activity, inflation and financial market prices.

DENNIS P. LOCKHART
President & CEO
FEDERAL RESERVE BANK OF ATLANTA
Dennis Lockhart took office March 1, 2007, as the 14th president and CEO of the Federal Reserve Bank of Atlanta. Additionally, he is serving a rotational term as a voting member of the Federal Open Market Committee for the year 2012. Lockhart has extensive private sector experience in international and emerging markets through his previous roles with Small Enterprise Assistance Funds, Zephyr Management, Heller Financial, and Citicorp/Citibank.

INDUSTRY PANELISTS AND MODERATORS

ROGER W. FERGUSON, JR., PH.D.
President & CEO
TIAA-CREF
Roger W. Ferguson, Jr., is president and CEO of TIAA-CREF, the leading provider of retirement services in the academic, research, medical and cultural fields. Ferguson formerly served as vice chairman of the Board of Governors of the U.S. Federal Reserve System and as head of financial services for Swiss Re. He is a member of President Obama’s Council on Jobs and Competitiveness.

EDWIN A. FINN, JR.
Editor & President
BARRON’S MAGAZINE
As editor and president of America’s premier financial magazine, Ed Finn is responsible for Barron’s growing print, digital and conference operations. He began his Dow Jones career in 1980 as a writer at The Wall Street Journal, then moved to Forbes and American Banker before returning to Dow Jones in 1993 as Barron’s managing editor. He was named editor in 1995 and president in 1998. He is a frequent keynote speaker and TV market commentator.

KATHLEEN HAYS
Host, The Hays Advantage
BLOOMBERG RADIO
One of the top economic reporters in the country, Kathleen Hays has covered the U.S. economy and the Federal Reserve for more than 20 years on television and radio, and in print and electronic media. Her previous credits include CNBC’s Squawk Box, CNN anchor, Reuters’ Money Desk, and Investor’s Business Daily New York Bureau Chief.

MELLODY HOBSON
President
ARIEL INVESTMENTS
Mellody Hobson is president of Ariel Investments, a Chicago-based money management firm that serves both institutional clients and individual investors. She has become a nationally recognized voice on financial literacy and investor education. Hobson is a financial contributor on Good Morning America and The Tom Joyner Morning Show, a frequent guest on ABC World News Tonight and a regular columnist for Black Enterprise.

Visit rise.udayton.edu for updates and additional information.
ROBERT S. KAPITO
President & Director
BLACKROCK

Having helped found BlackRock in 1988, Robert Kapito now serves as its President and a Director. He is also a member of BlackRock’s Global Executive Committee, Chairman of its Global Operating Committee, and a Director of iShares Inc. He is responsible for day-to-day oversight of all BlackRock’s key operating units, including Investment Strategies, Client Businesses, Strategic Product Management, Technology & Operations, and Risk & Quantitative Analysis.

STEPHANIE LINK
CIO & Director of Research
THESTREET, INC.

Stephanie Link leads all investment, research, and contributor recruitment activities at TheStreet. As CIO, she oversees its premium content, which provides financial news, analysis, and market commentary to subscribers. As co-portfolio manager of Action Alerts PLUS, Jim Cramer’s charitable trust portfolio, Link is responsible for the daily management of the fund. She regularly appears on CNBC’s FastMoney Halftime Report, Closing Bell, Squawk Box and The Kudlow Report.

KATE MOORE
Senior Global Equity Strategist
BoFA MERRILL LYNCH GLOBAL RESEARCH

Kate Moore’s responsibilities include developing investment recommendations and strategic themes for both institutional and retail clients. She helps identify cross-asset investment strategies for individual investors, authoring the corresponding monthly Research Investment Committee Report. Before joining BoFA Merrill Lynch in late 2009, Moore was an emerging markets strategist at Moore Capital Management and part of the investment strategy and economics team at Morgan Stanley Investment Management.

LIZ ANN SONDERS
Senior Vice President & Chief Investment Strategist
CHARLES SCHWAB & CO., INC.

Liz Ann Sonders has a range of investment strategy responsibilities at Charles Schwab, where she analyzes and interprets the economy and markets on behalf of Schwab’s entire client base and chairs Schwab’s Investment Strategy Council. Sonders is a regular guest on many CNBC programs, as well as ABC’s Good Morning America, CBS This Morning, PBS NewsHour, Fox Business, CNN, and Bloomberg TV & Radio.

DIANE C. SWONK
Senior Managing Director & Chief Economist
MESIROW FINANCIAL

As one of the most respected and oft-quoted economists in the world, Diane Swonk sits on several advisory committees to the Federal Reserve Board, its regional banks and the Council of Economic Advisers for the White House. She is currently a member of the Council on Foreign Relations. Swonk joined Mesirow Financial, a diversified financial services firm based in Chicago, in 2004 after 19 years with Bank One.

FRED TOMCZYK
President & CEO
TD AMERITRADE

Fred Tomczyk’s 25-plus years in the financial services industry have gained him extensive expertise in wealth management, insurance and banking. Tomczyk has served as president and CEO of TD Ameritrade since October 2008, during which time the firm has achieved record organic growth in the midst of the most difficult economic environment since the Great Depression.

JERRY A. WEBMAN, PH.D., CFA
Senior Investment Officer & Chief Economist
OPPENHEIMERFUNDS, INC.

Jerry Webman provides strategic viewpoints on the overall financial and economic markets to OppenheimerFunds’ investment management and financial advisor and investor communities. For more than 25 years, Webman has been involved in the investment and economic markets — as a researcher, a financial advisor to state and local governments, and a portfolio manager. A former Princeton University professor, Webman is often quoted in the financial media.

DAVID KUDLA
CEO & CIO
MAINSTAY CAPITAL MANAGEMENT, LLC

David Kudla oversees all operations of his firm and directs its investment policy. A contributing writer for Forbes and Dow Jones, with frequent appearances on CNBC, FOX, Bloomberg and in print media, Kudla has been named by Barron’s as a “Top 100 Independent Financial Advisor” for the past four years.
IT’S ALL IN THE DETAILS.

Join some of the brightest financial minds in the world at the University of Dayton’s RISE 13 Forum. Our innovative approach to investment education benefits not only students, but also business professionals eager to keep pace with industry trends and coming changes in the global economy. We’ve assembled experts who represent a broad spectrum of viewpoints on everything from the markets and the economy to the future of the industry and Federal Reserve perspectives. You’re invited to experience one of the world’s most prestigious financial symposiums — RISE 13.

INTERACTIVE PANELS & KEYNOTE ADDRESSES

Renowned Wall Street experts will serve as panelists to discuss the economy, the markets and the future of the industry while responding to questions posed by the student audience. In addition, as a RISE first, we are proud to present a Federal Reserve Presidents Panel.

FEDERAL RESERVE PRESIDENTS PANEL

The Federal Reserve Presidents Panel will provide a unique opportunity to get a firsthand and inside look at what the Federal Reserve Board is thinking, what they are focusing on and why, as well as what all that could mean for monetary policy.

ECONOMY PANEL

The Economy Panel will focus on trends and developments that drive global economic growth such as employment, trade, inflation, housing, monetary and fiscal policy, and productivity.

MARKETS PANEL

The Markets Panel will focus on strategies, opportunities, and risks across all of our markets: stocks, bonds, cash, commodities, real estate, and alternative investments.

FUTURE OF THE INDUSTRY PANEL

Top-name industry executives will examine anticipated market trends and opportunities as well as the investment profession itself.

SCHEDULE OF EVENTS

Thursday, April 4, 2013

University of Dayton Arena

7:00 – 8:15 a.m.  Event Check-in and Continental Breakfast
8:30 – 8:45 a.m.  Welcome and Opening Remarks
8:45 – 10:00 a.m.  Federal Reserve Presidents Panel
10:30 a.m. – Noon  Economy Panel
Noon – 1:00 p.m.  Lunch (provided)
1:15 – 2:45 p.m.  Markets Panel
3:15 – 4:45 p.m.  Future of the Industry Panel

Up to six hours of continuing education credit may be available.

FORUM REGISTRATION


Visit rise.udayton.edu and select “Registration,” then “Professional Registration.” Pre-registration is required; on-site registration will not be available. Online registration ends March 18, 2013. For additional information, visit rise.udayton.edu, call 937-229-1444, or email rise@udayton.edu.

CANCELLATION POLICY

Cancellation requests will be accepted until March 12, 2013, and are subject to a $25 per person processing fee. To receive a refund, please call 937-229-1444 or email rise@udayton.edu. No refunds will be given after March 12, 2013.

SUBSTITUTION POLICY

Substitutions may be made online until March 18, 2013. After March 18, call 937-229-1444 or email rise@udayton.edu.

ADDITIONAL INFORMATION

All events will take place at the University of Dayton Arena, 1801 Edwin C. Moses Blvd., Dayton, Ohio 45417. Parking is available in Lot B of the Arena. Check-in is located inside the Southwest Entrance of the Arena.

HOTEL ACCOMMODATIONS

Courtyard Marriott Hotel
2006 Edwin C. Moses Blvd.
Dayton, OH 45417 United States
937-220-9060
Group Code: GRI
Rate: $94 per night
WALL STREET IS COMING TO DAYTON AND WE’D LIKE TO GIVE YOU CREDIT.

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UNIVERSITY of DAYTON RISE 13
REDEFINING INVESTMENT STRATEGY EDUCATION